

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



PATENT APPLICATION

Date August 13, 2001

In re the Application of

Minoru YAMADA et al.

Application No.: 09/812,997

Docket No.: 109016

Filed: March 21, 2001

For: NEAR-FIELD OPTICAL HEAD

**LARGE ENTITY PETITION FOR 1st - 3rd EXTENSION
OF TIME UNDER 37 C.F.R. §1.136(a) AND
TRANSMITTAL OF FEE UNDER 37 C.F.R. §1.17**

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Transmitted herewith is a response to the outstanding Official Action in the above-identified patent application. The shortened statutory period having expired June 30, 2001, an extension of time for a period of 2 months is hereby requested. Attached hereto is our Check No. 121759 in the amount of \$390.00 in payment for:

 Extension fee for response within first month pursuant to §1.136(a) (\$110.00)
X Extension fee for response within second month pursuant to §1.136(a) (\$390.00)
 Extension fee for response within third month pursuant to §1.136(a) (\$890.00)

The Director is hereby authorized to charge any additional fee or credit any overpayment associated with this communication to Deposit Account No. 15-0461. Two copies of this petition are enclosed.

08/15/2001 SMINASS1 00000081 09812997

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390.00 0P

Respectfully submitted,

James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/zmc

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE
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Please grant any extension
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Charge any fee due to our
Deposit Account No. 15-0461



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Minoru YAMADA et al.

Application No.: 09/812,997

Attn: Box Missing Parts

Docket No.: 109016

Filed: March 21, 2001

For: NEAR-FIELD OPTICAL HEAD

RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on April 30, 2001, submitted herewith is the executed Declaration of the inventors. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Attached is our Check No. 121758 for \$130.00 \$65.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/zmc

Date: August 13, 2001

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PATENT APPLICATION

Receipt S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Minoru YAMADA et al.

Application No.: 09/812,997

Docket No.: 109016

Filed: March 21, 2001

For: NEAR-FIELD OPTICAL HEAD

REQUEST FOR CORRECTION OF PALM RECORDS

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/zmc

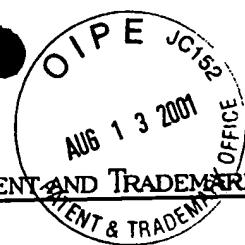
Date: August 13, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE



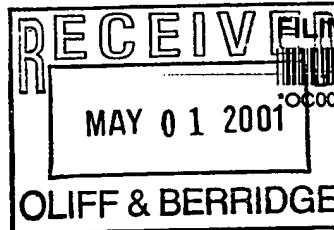
ZMC

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/812,997	03/21/2001	2651	710	109016	4	12	1

CONFIRMATION NO. 3891

25944
OLIFF & BERRIDGE, PLC
277 S. WASHINGTON STREET, SUITE 500
ALEXANDRIA, VA 22314



Date Mailed: 04/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Minoru Yamada, Kanazawa City, JAPAN;
Mitsuru Kinouchi, Chuo-ku, JAPAN;

ASSIGNMENT FOR PUBLISHED PATENT APPLICATION: TDK CORPORATION
Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-081580 03/23/2000

If Required, Foreign Filing License Granted 04/30/2001

Projected Publication Date: To Be Determined - pending co

Non-Publication Request: No

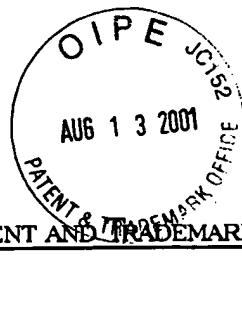
Early Publication Request: No

Title

Near-field optical head

Preliminary Class

369



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,997	03/21/2001	Minoru Yamada	109016

CONFIRMATION NO. 3891

25944
OLIFF & BERRIDGE, PLC
277 S. WASHINGTON STREET, SUITE 500
ALEXANDRIA, VA 22314

FORMALITIES LETTER



OC000000006021874

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE